

# A Declarative Framework for Matching Iterative and Aggregative Patterns against Event Streams



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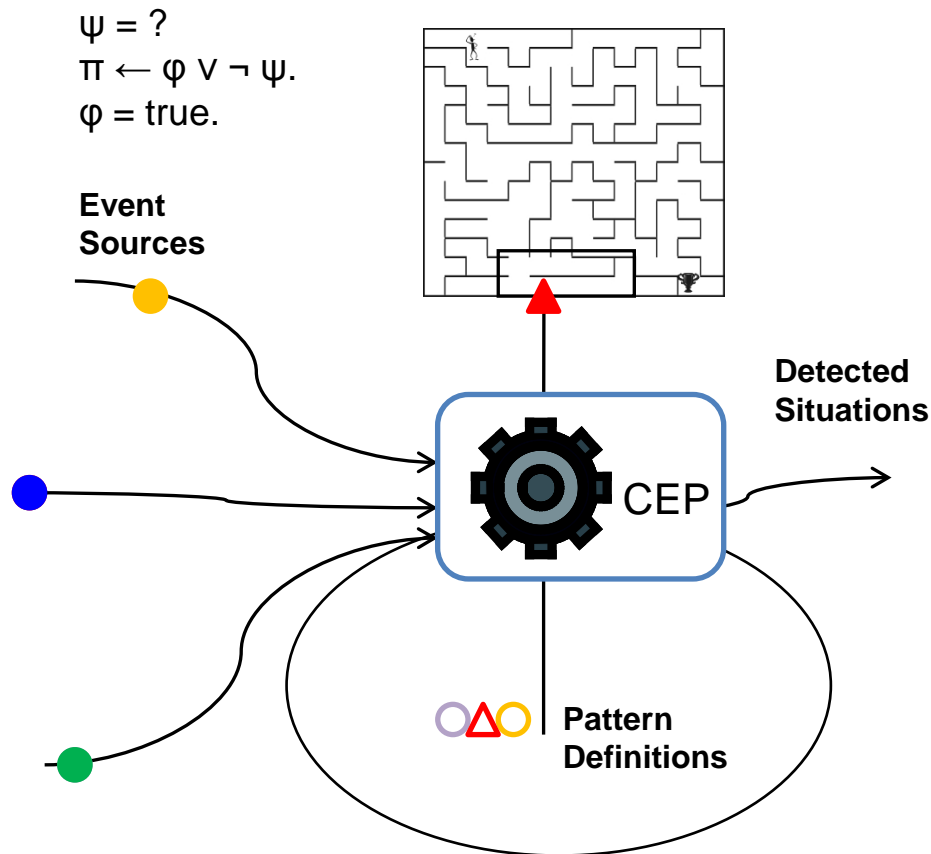
- Introduction, Motivation
  
- Iterative and Aggregative Patterns in ETALIS
  - Language
  - Window operations
  - Evaluation results
  
- Conclusion.



# Iterative and Aggregative Patterns in ETALIS



## Recursive CEP in ETALIS



## ETALIS Features:

- Logic-based CEP
- Knowledge-based CEP
- Stream (deductive) reasoning
- Iterative and aggregative patterns
- An implementation available
- An extensible framework

- In CEP it is common to perform various **aggregations** over data carried by events;
- **Iterative patterns**: an output (complex) event is treated as an input event of the same CEP processing agent;
- **Kleene closure** can be used to extract from the input stream a finite yet unbounded number of events with a particular property;
- Iterative and aggregative patterns, as well as Kleene closure can be realised with **NFAs** [Agrawal et al. SIGMOD'08] or **event graphs** [Mei et al. SIGMOD'09];
- **ETALIS** is a **logic rule-based** approach for CEP.

# ETALIS - A Logic Rule-based Approach for CEP



- A rule-based formalism is **expressive** enough and convenient to represent diverse complex event patterns;
- Rules can easily express complex relationships between events by matching certain **temporal, relational** or **causal** conditions;
- Our rule-based formalism can specify and evaluate **contextual knowledge** (which captures the domain of interest or context related to business critical actions);
- With background knowledge events can be: **enriched, classified, clustered, filtered** etc. (with no **explicit** specifications).
- ETALIS is a **unified** framework for **CEP** and **reasoning**.

# ETALIS: Language Syntax

ETALIS Language for Events is formally defined by:

$$P ::= \text{pr}(t_1, \dots, t_n) \quad | \quad P \text{ WHERE } t \quad | \quad q \quad | \quad (P).q \\ | \quad P \text{ BIN } P \quad | \quad \text{NOT}(P).[P, P]$$

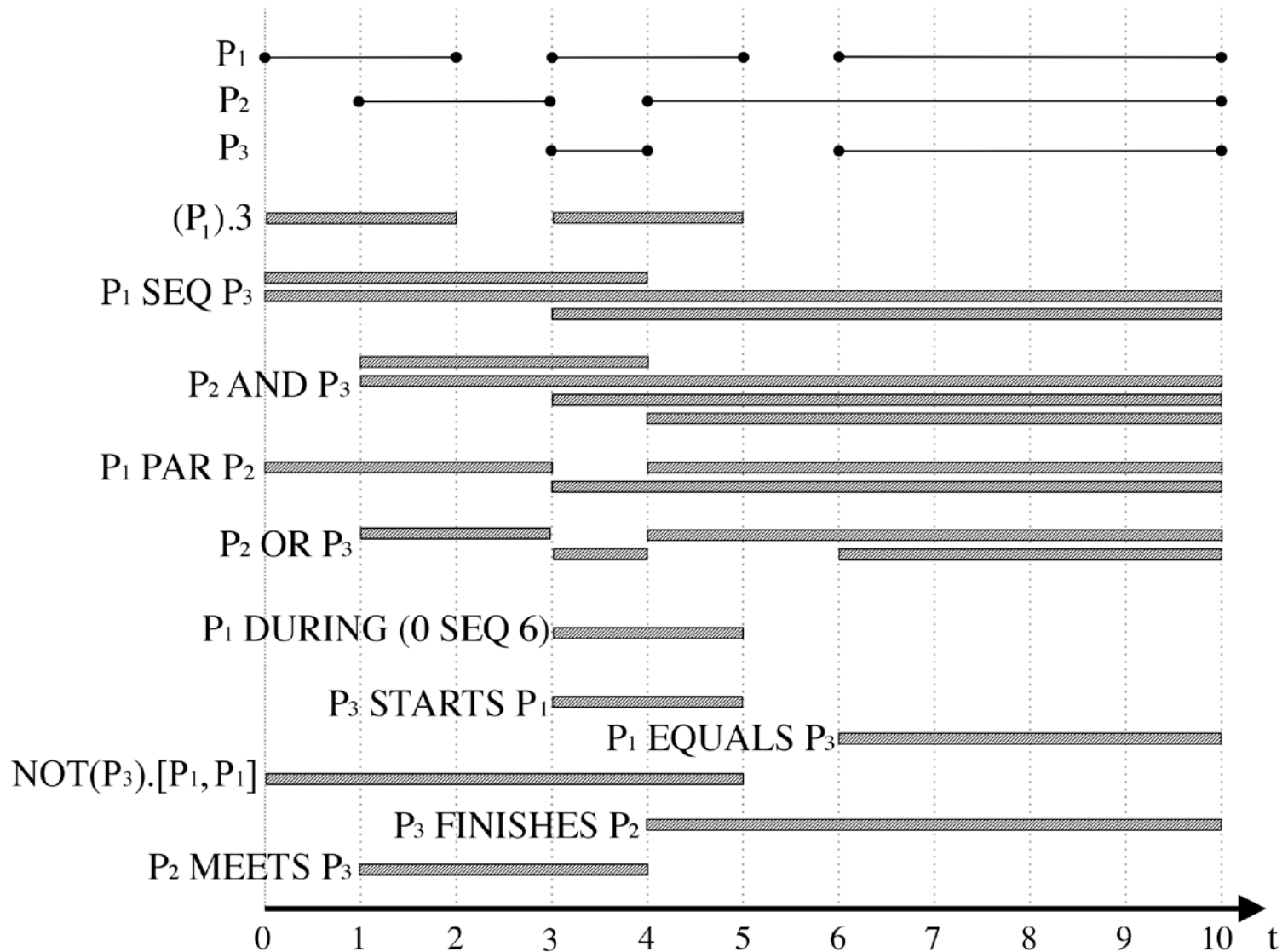
- $\text{pr}$  - a predicate name with arity  $n$ ;
- $t_{(i)}$  - denote terms;
- $t$  - is a term of type boolean;
- $q$  - is a nonnegative rational number;
- $\text{BIN}$  - is one of the binary operators: SEQ, AND, PAR, OR, EQUALS, MEETS, STARTS, or FINISHES.

Event rule is defined as a formula of the following shape:

$$\text{pr}(t_1, \dots, t_n) \leftarrow p$$

where  $p$  is an event pattern containing all variables occurring in  $\text{pr}(t_1, \dots, t_n)$

# ETALIS: Interval-based Semantics



# ETALIS: Declarative Semantics

pattern	$\mathcal{I}_\mu(\text{pattern})$
$\text{pr}(t_1, \dots, t_n)$	$\mathcal{I}(\text{pr}(\mu^*(t_1), \dots, \mu^*(t_n)))$
$p$ WHERE $t$	$\mathcal{I}_\mu(p)$ if $\mu^*(t) = \text{true}$ $\emptyset$ otherwise.
$q$	$\{\langle q, q \rangle\}$ for all $q \in \mathbb{Q}^+$
$(p).q$	$\mathcal{I}_\mu(p) \cap \{\langle q_1, q_2 \rangle \mid q_2 - q_1 = q\}$
$p_1$ SEQ $p_2$	$\{\langle q_1, q_4 \rangle \mid \langle q_1, q_2 \rangle \in \mathcal{I}_\mu(p_1) \text{ and } \langle q_3, q_4 \rangle \in \mathcal{I}_\mu(p_2) \text{ for some } q_2, q_3 \in \mathbb{Q}^+ \text{ with } q_2 < q_3\}$
$p_1$ AND $p_2$	$\{\langle \min(q_1, q_3), \max(q_2, q_4) \rangle \mid \langle q_1, q_2 \rangle \in \mathcal{I}_\mu(p_1) \text{ and } \langle q_3, q_4 \rangle \in \mathcal{I}_\mu(p_2) \text{ for some } q_2, q_3 \in \mathbb{Q}^+\}$
$p_1$ PAR $p_2$	$\{\langle \min(q_1, q_3), \max(q_2, q_4) \rangle \mid \langle q_1, q_2 \rangle \in \mathcal{I}_\mu(p_1) \text{ and } \langle q_3, q_4 \rangle \in \mathcal{I}_\mu(p_2)$ for some $q_2, q_3 \in \mathbb{Q}^+$ with $\max(q_1, q_3) < \min(q_2, q_4)\}$
$p_1$ OR $p_2$	$\mathcal{I}_\mu(p_1) \cup \mathcal{I}_\mu(p_2)$
$p_1$ EQUALS $p_2$	$\mathcal{I}_\mu(p_1) \cap \mathcal{I}_\mu(p_2)$
$p_1$ MEETS $p_2$	$\{\langle q_1, q_3 \rangle \mid \langle q_1, q_2 \rangle \in \mathcal{I}_\mu(p_1) \text{ and } \langle q_2, q_3 \rangle \in \mathcal{I}_\mu(p_2) \text{ for some } q_2 \in \mathbb{Q}^+\}$
$p_1$ DURING $p_2$	$\{\langle q_3, q_4 \rangle \mid \langle q_1, q_2 \rangle \in \mathcal{I}_\mu(p_1) \text{ and } \langle q_3, q_4 \rangle \in \mathcal{I}_\mu(p_2) \text{ for some } q_2, q_3 \in \mathbb{Q}^+ \text{ with } q_3 < q_1 < q_2 < q_4\}$
$p_1$ STARTS $p_2$	$\{\langle q_1, q_3 \rangle \mid \langle q_1, q_2 \rangle \in \mathcal{I}_\mu(p_1) \text{ and } \langle q_1, q_3 \rangle \in \mathcal{I}_\mu(p_2) \text{ for some } q_2 \in \mathbb{Q}^+ \text{ with } q_2 < q_3\}$
$p_1$ FINISHES $p_2$	$\{\langle q_1, q_3 \rangle \mid \langle q_2, q_3 \rangle \in \mathcal{I}_\mu(p_1) \text{ and } \langle q_1, q_3 \rangle \in \mathcal{I}_\mu(p_2) \text{ for some } q_2 \in \mathbb{Q}^+ \text{ with } q_1 < q_2\}$
$\text{NOT}(p_1).[p_2, p_3]$	$\mathcal{I}_\mu(p_2 \text{ SEQ } p_3) \setminus \mathcal{I}_\mu(p_2 \text{ SEQ } p_1 \text{ SEQ } p_3)$

Definition of extensional interpretation of event patterns. We use  $p_{(x)}$  for patterns,  $q_{(x)}$  for rational numbers,  $t_{(x)}$  for terms and  $\text{pr}$  for event predicates.



## Examples

A sum over an unbound event stream until a threshold value is met:

```
income(Price) ← sell(Item, Price).  
income(P1 + P2) ← income(P1) SEQ sell(Item, P2).  
bigincome ← income(Price) WHERE Price > 100000.
```

The k-fold sequential execution of an event a:

```
iteration(a, 1) ← a.  
iteration(a, k + 1) ← a SEQ iteration(a, k).
```

A sliding length-based window, e.g., n=5:

```
iteration(a, 1) ← a.  
iteration(a, k + 1) ← NOT(a).[a, iteration(a, k)].  
e ← iteration(a, n).
```

## 1. Complex pattern (not event-driven rule)

a SEQ b SEQ c → ce1



## 2. Decoupling

((a SEQ b) SEQ c) → ce1



## 3. Binarization

a SEQ b → ie  
ie SEQ c → ce1



## 4. Event-driven backward chaining rules



### Algorithm 2 Conjunction.

**Input:** event binary goal  $ie \leftarrow a \text{ AND } b$ .

**Output:** event-driven backward chaining rules for AND operator.

Each event binary goal  $ie \leftarrow a \text{ AND } b$  is converted into: {

$a(T_1, T_2) : - \text{for\_each}(a, 1, [T_1, T_2]).$

$a(1, T_3, T_4) : - \text{goal}(a(\_, \_), b(T_1, T_2), ie(\_, \_)),$   
 $\text{retract}(\text{goal}(a(\_, \_), b(T_1, T_2), ie(\_, \_))),$   
 $T_5 = \min\{T_1, T_3\}, T_6 = \max\{T_2, T_4\}, ie(T_5, T_6).$

$a(2, T_3, T_4) : - \neg(\text{goal}(a(\_, \_), b(T_1, T_2), ie(\_, \_))),$   
 $\text{assert}(\text{goal}(b(\_, \_), a(T_3, T_4), ie(\_, \_))).$

$b(T_1, T_2) : - \text{for\_each}(b, 1, [T_1, T_2]).$

$b(1, T_3, T_4) : - \text{goal}(b(\_, \_), a(T_1, T_2), ie(\_, \_)),$   
 $\text{retract}(\text{goal}(b(\_, \_), a(T_1, T_2), ie(\_, \_))),$   
 $T_5 = \min\{T_1, T_3\}, T_6 = \max\{T_2, T_4\}, IE(T_5, T_6).$

$b(2, T_3, T_4) : - \neg(\text{goal}(b(\_, \_), a(T_1, T_2), ie(\_, \_))),$   
 $\text{assert}(\text{goal}(a(\_, \_), b(T_3, T_4), ie(\_, \_))).$

}

## ETALIS: Operational Semantics (3/6)

For any aggregate function, calculated over a window, we implement the following three rules:

$$\begin{aligned} \text{iteration}(\text{StartCntr} = 0, \text{StartVal}) &\leftarrow \text{start\_event}(\text{StartVal}). \\ \text{iteration}(\text{OldCntr} + 1, \text{NewVal}) &\leftarrow \\ &\text{iteration}(\text{OldCntr}, \text{OldVal}) \text{ SEQ } a(\text{AggArg}) \\ &\text{WHERE } \{\text{assert}(\text{AggArg}), \\ &\quad \text{window}(\text{WndwSize}, \text{OldCntr}, \text{OldVal}, \text{AggArg}, \text{NewVal})\}. \end{aligned}$$
$$\begin{aligned} \text{window}(\text{WndwSize}, \text{OldCntr}, \text{OldVal}, \text{AggArg}, \text{NewVal}) : - \\ \text{OldCntr} + 1 >= \text{WindowSize} - > \\ \text{retract}(\text{LastItem}), \\ \text{spec\_aggregate}(\text{OldValue}, \text{AggArg}, \text{NewValue}); \\ \text{spec\_aggregate}(\text{OldValue}, \text{AggArg}, \text{NewValue}). \end{aligned}$$

# ETALIS: Operational Semantics (4/6)

SUM aggregate function:

$\text{sum}(\text{StartCntr} = 0, \text{StartVal}) \leftarrow \text{start\_event}(\text{StartVal}).$

$\text{sum}(\text{OldCntr} + 1, \text{NewSum}) \leftarrow$   
 $\text{sum}(\text{OldCntr} + 1, \text{OldSum}) \text{ SEQ } a(\text{AggArg})$   
 WHERE {assert(*AggArg*),  
       window(*WndwSize*, *OldCntr*,  
       *OldSum* + *AggArg*, *AggArg*, *NewSum*)}.

window(*WndwSize*, *OldCntr*, *CurrSum*, *NewSum*) : –  
   *OldCntr* + 1  $\geq$  *WindowSize* – >  
   retract(*LastItem*),  
   *NewSum* = *CurrSum* – *LastItem*;  
   *NewSum* = *CurrSum* – *LastItem*.

# ETALIS: Operational Semantics (5/6)

MAX aggregate function:

$$\begin{aligned} \max(\text{StartCntr} = 0, \text{StartVal}) &\leftarrow \text{start\_event}(\text{StartVal}). \\ \max(\text{OldCntr} + 1, \text{NewMax}) &\leftarrow \\ &\max(\text{OldCntr} + 1, \text{OldMax}) \text{ SEQ } a(\text{AggArg}) \\ &\text{ WHERE } \{\text{assert}(\text{AggArg}), \\ &\quad \text{window}(\text{WndwSize}, \text{OldCntr}, \text{NewMax})\}. \end{aligned}$$

$$\begin{aligned} \text{window}(\text{WndwSize}, \text{OldCntr}, \text{NewMax}) : - \\ \text{OldCntr} + 1 \geq \text{WindowSize} - > \\ \text{retract}(\text{LastItem}), \text{get}(\text{NewMax}); \\ \text{get}(\text{NewMax}). \end{aligned}$$

# ETALIS: Operational Semantics (6/6)

COUNT aggregate function:

```
iteration(StartCntr = 0, StartVal) ← start_event(StartVal).  
iteration(NewCntr) ←  
    iteration(OldCntr) SEQ a(AggArg)  
    WHERE {NewCntr = getCount([T2, T1]), window(3min)}.
```

- Data structures: red-black trees, stack, difference lists
- Time-based windows require a time-based garbage collection (GC);
- We have implemented two techniques for GC:
  - pushed constraints
  - general and pattern-based GC

# Tests I: Throughput Comparison

- Intel Core Quad CPU Q9400 2,66GHz, 8GB of RAM, Vista x64; ETALIS on SWI Prolog 5.6.64 and YAP Prolog 5.1.3 vs. Esper 3.3.0
- Aggregations are computed over complex events of the following type:

$$a(ID, X, Y) \leftarrow b(ID, X) \text{ AND } c(ID, Y).$$

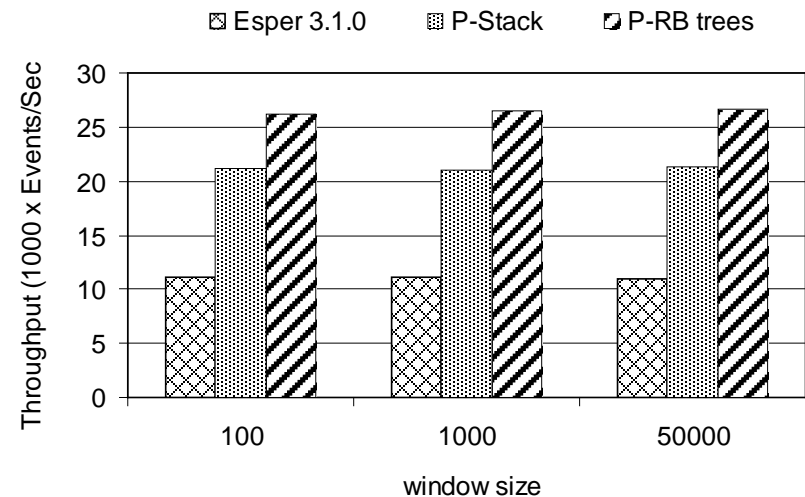
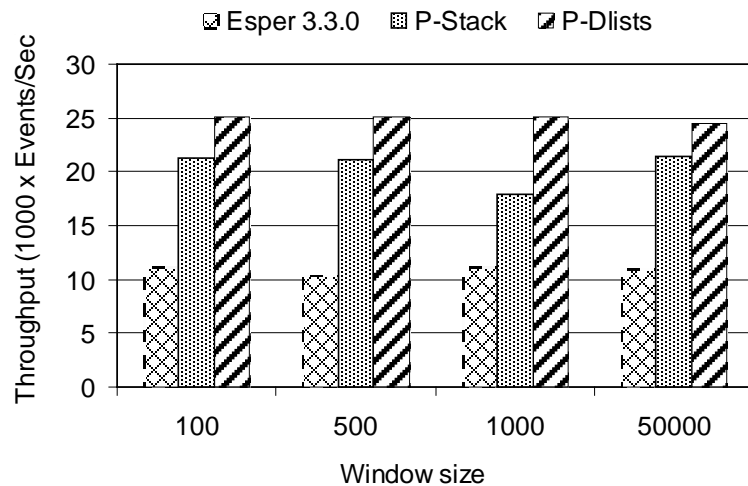


Figure: Throughput vs. wind. size (a) SUM-AND (b) AVG-SEQ

# Tests II: CEP with Stream Reasoning

```

delivery(start, start) ← shipment(start).
delivery(From, To) ← delivery(From, PrevTo)
                    SEQ shipment(To)
                    WHERE inSupChain(From, To).
  
```

```

inSupChain(X, Y) : - linked(X, Y).
inSupChain(X, Z) : - linked(X, Y) AND inSupChain(Y, Z).
  
```

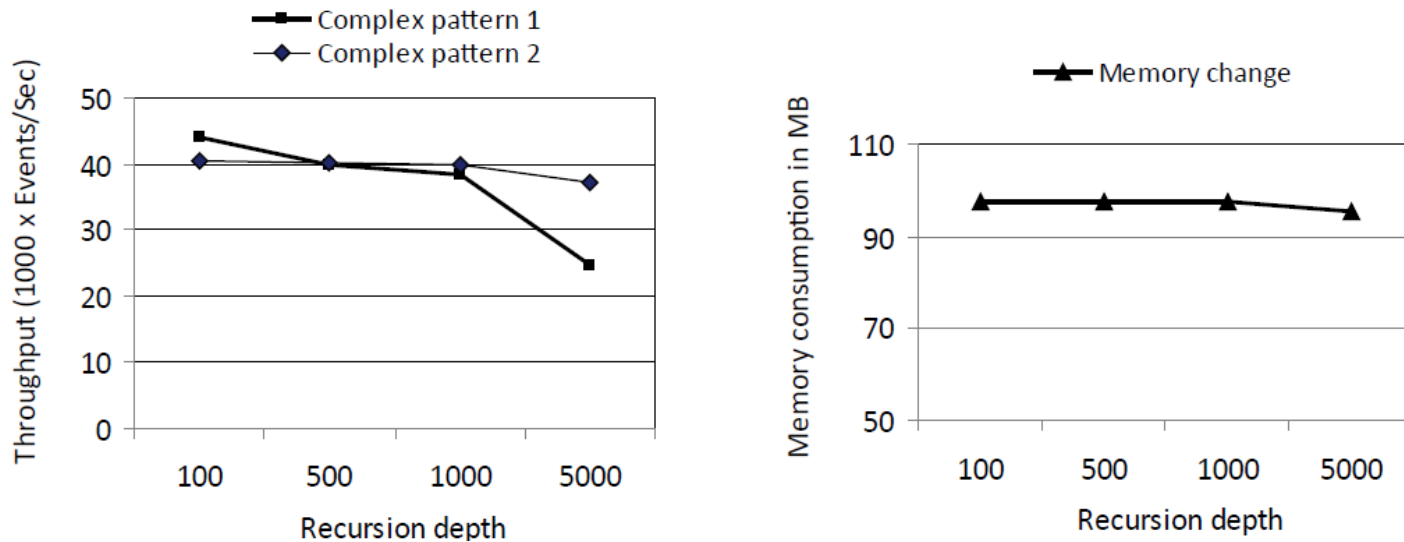


Figure: (a) throughput comparison (b) memory consumption





- We presented a framework for a logic rule-based CEP and reasoning;
- The framework is capable to specify and process iterative and aggregative complex event patterns;
- Aggregations, calculated over sliding windows, do not require an extension of the existing language and come with no additional costs in terms of performance.

Thank you! Questions...

**ETALIS**



Open source:

**<http://code.google.com/p/etalis>**

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